

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Tsutomu ARAKAWA et al. ) Group Art Unit: 1642  
)  
Serial No.: 09/994,068 ) Examiner: Not Yet Assigned  
)  
Filed: November 27, 2001 )  
)  
For: ANTIBODY-INDUCED )  
APOPTOSIS )

The Commissioner of Patents and Trademarks  
Washington, DC 20231  
**Attention: Licensing & Review**

Sir:

**TRANSMITTAL LETTER**

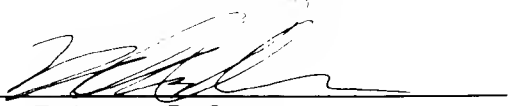
Applicants now respond to the Requirement for Statement Under § 152 of the Atomic Energy Act mailed September 25, 2002. Applicants enclose a signed Statement.

Please grant any extensions of time required to enter this Statement and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 16, 2002

By:   
Rebecca B. Scarr  
Reg. No. 47,057

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The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Tutomu Arakawa and Yoshiko Kita  
citizens of Japan  
residing at 3957 Corte Cancion, Thousand Oaks, CA 91360 USA  
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 09/994,068 filed in the United States of America on November 27, 2001  
titled Antibody-Induced Apoptosis

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Amgen Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Amgen Inc. Other relevant facts are \_\_\_\_\_

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Tutomu Arakawa

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Date: 8/14/02

Inventor's Signature: Yoshiko Kita

Post Office Address: 3957 Corte Cancion, Thousand Oaks, CA 91360

Date: 9-26-02